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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. AXISP006  
First Inventor Patrik ANDERSON  
Title METHOD AND APPARATUS FOR AN IMAGE SERVER  
Express Mail Label No. EF 397533003 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7] Figs: 1-6
5. Oath or Declaration (unsigned) [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations 2
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Check in the amount of \$710.00; Unsigned Oath or Declaration...

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	CARY & KELLY, LLP				
Address	ATTN: Charles C. Cary				
	1875 Charleston Road				
City	Mountain View	State	California	Zip Code	94043
Country	United States	Telephone	(650) 316-4011	Fax	(650) 316-4013

Name (Print/Type)

Charles C. Cary

Registration No. (Attorney/Agent)

36,764

Signature

Date

Mar 23, 2001

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03/23/01  
1c962 U.S. PTO

PTO/SB/17 (09-00)  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	New
Filing Date	Mar 23, 2001
First Named Inventor	Patrik ANDERSON
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	AXISP006

TOTAL AMOUNT OF PAYMENT (\$) 710

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 50-1338
- Deposit Account Name: CARY & KELLY, LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	\$710
106 320	206 160	Design filing fee	\$0
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710

### 2. EXTRA CLAIM FEES

Total Claims: 14

Independent Claims: 2

Multiple Dependent: 12

Extra Claims: -20 = -6

Fee from below: \$18

Fee Paid: \$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$0
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$0
139 130	139 130	Non-English specification	\$0
147 2,520	147 2,520	For filing a request for ex parte reexamination	\$0
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$0
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$0
115 110	215 55	Extension for reply within first month	\$0
116 390	216 195	Extension for reply within second month	\$0
117 890	217 445	Extension for reply within third month	\$0
118 1,390	218 695	Extension for reply within fourth month	\$0
128 1,890	228 945	Extension for reply within fifth month	\$0
119 310	219 155	Notice of Appeal	\$0
120 310	220 155	Filing a brief in support of an appeal	\$0
121 270	221 135	Request for oral hearing	\$0
138 1,510	138 1,510	Petition to institute a public use proceeding	\$0
140 110	240 55	Petition to revive - unavoidable	\$0
141 1,240	241 620	Petition to revive - unintentional	\$0
142 1,240	242 620	Utility issue fee (or reissue)	\$0
143 440	243 220	Design issue fee	\$0
144 600	244 300	Plant issue fee	\$0
122 130	122 130	Petitions to the Commissioner	\$0
123 50	123 50	Petitions related to provisional applications	\$0
126 240	126 240	Submission of Information Disclosure Stmt	\$0
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$0
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	\$0
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	\$0
179 710	279 355	Request for Continued Examination (RCE)	\$0
169 900	169 900	Request for expedited examination of a design application	\$0

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name (Print/Type): Charles C. Cary

Signature:

Registration No. (Attorney/Agent): 36,764

## Complete (if applicable)

Telephone: (650) 316-4011

Date: Mar 23, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	New
	Filing Date	Mar 23, 2001
	First Named Inventor	Patrik ANDERSON
	Group Art Unit	N/A
	Examiner Name	N/A
Total Number of Pages in This Submission <sup>26 +</sup> 2 REF	Attorney Docket Number	AXISP006

**ENCLOSURES** (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers # (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) 7 (6 FIGURES)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition #	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1- Utility Patent Application Transmittal
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	1- Declaration and Power of Attorney form
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Return Receipt Postcard	1- Check for \$710.00
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

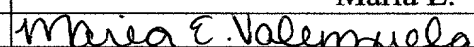
EF 397533003 US

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	CARY & KELLY/LLP
Signature	 Reg. #: 36,764
Date	Mar 23, 2001

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" service under 37CFR 1.10 addressed to the Assistant Commissioner for Patent, Washington, DC 20231 Express Label No EF397533003US on **March 23, 2001**

Typed or printed name	Maria E. Valenzuela
Signature	 Date
	March 23, 2001

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